

**ARIZONA CORPORATION COMMISSION**  
**UTILITIES DIVISION**

ARIZONA CORPORATION  
COMMISSION

RECEIVED  
MAR 06 2002

ANNUAL REPORT MAILING LABEL – MAKE CHANGES AS NECESSARY

J

W-02023A  
JOSHUA VALLEY UTILITY COMPANY  
P. O. BOX 80070  
PHOENIX AZ 85060-0070

**ANNUAL REPORT**

FOR YEAR ENDING

12	31	2001
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FOR COMMISSION USE

ANN04	01
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Processed by:

3/7/02 *am*

SCANNED

## COMPANY INFORMATION

**Company Name (Business Name)** Joshua Valley Utility Company

**Mailing Address** PO Box 80070

(Street)

Phoenix

(City)

Arizona

(State)

85060-0070

(Zip)

602-840-2450

Telephone No. (Include Area Code)

602-840-8350

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

**Email Address** \_\_\_\_\_

**Local Office Mailing Address** PO Box 247

(Street)

Meadview

(City)

Arizona

(State)

86444

(Zip)

928-564-2552

Local Office Telephone No. (Include Area Code)

928-564-2517

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

**Email Address** \_\_\_\_\_

## MANAGEMENT INFORMATION

**Management Contact:** John D. Ratliff President

(Name)

(Title)

PO Box 80070

(Street)

Phoenix

(City)

Arizona

(State)

85060-0070

(Zip)

602-840-2450

Telephone No. (Include Area Code)

602-840-8350

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

**Email Address** \_\_\_\_\_

**On Site Manager:** Ron Raymond

(Name)

PO Box 247

(Street)

Meadview

(City)

Arizona

(State)

86444

(Zip)

(928) 564-2552

Telephone No. (Include Area Code)

928-564-2517

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

**Email Address** \_\_\_\_\_

**Statutory Agent:** Gary Zwillinger

(Name)

1850 N. Central Ave., Ste. 2100

(Street)

Phoenix

(City)

Arizona

(State)

85004

(Zip)

602-279-1600

Telephone No. (Include Area Code)

602-240-6925

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

**Attorney:** Gary Zwillinger

(Name)

As above

(Street)

(City)

(State)

(Zip)

Telephone No. (Include Area Code)

Fax No. (Include Area Code)

Pager/Cell No. (Include Area Code)

### OWNERSHIP INFORMATION

Check the following box that applies to your company:

☐ Sole Proprietor (S)

☒ C Corporation (C) (Other than Association/Co-op)

☐ Partnership (P)

☐ Subchapter S Corporation (Z)

☐ Bankruptcy (B)

☐ Association/Co op (A)

☐ Receivership (R)

☐ Limited Liability Company

☐ Other (Describe) \_\_\_\_\_

### COUNTIES SERVED

Check the box below for the county/ies in which you are certificated to provide service:

☐ APACHE

☐ COCHISE

☐ COCONINO

☐ GILA

☐ GRAHAM

☐ GREENLEE

☐ LA PAZ

☐ MARICOPA

☒ MOHAVE

☐ NAVAJO

☐ PIMA

☐ PINAL

☐ SANTA CRUZ

☐ YAVAPAI

☐ YUMA

☐ STATEWIDE

**COMPANY NAME** Joshua Valley Utility Company

**UTILITY PLANT IN SERVICE**

Acct. No.	DESCRIPTION	Original Cost (OC)	Accumulated Depreciation (AD)	O.C.L.D. (OC less AD)
301	Organization			
302	Franchises			
303	Land and Land Rights	6,176	0	6,176
304	Structures and Improvements	2,745	1,118	1,627
307	Wells and Springs	17,913	15,244	2,669
311	Pumping Equipment	31,510	7,121	24,389
320	Water Treatment Equipment			
330	Distribution Reservoirs and Standpipes	22,468	16,589	5,879
331	Transmission and Distribution Mains	1,443,124	614,905	828,219
333	Services	18,456	17,296	1,160
334	Meters and Meter Installations	30,734	13,907	16,827
335	Hydrants			
336	Backflow Prevention Devices			
339	Other Plant and Misc. Equipment			
340	Office Furniture and Equipment	11,367	2,528	8,839
341	Transportation Equipment	32,989	4,680	28,309
343	Tools, Shop and Garage Equipment			
344	Laboratory Equipment			
345	Power Operated Equipment	5,331	1,759	3,572
346	Communication Equipment			
347	Miscellaneous Equipment			
348	Other Tangible Plant			
	<b>TOTALS</b>	<b>1,622,813</b>	<b>695,147</b>	<b>927,666</b>

This amount goes on the Balance Sheet Acct. No. 108

**COMPANY NAME** Joshua Valley Utility Company

**CALCULATION OF DEPRECIATION EXPENSE FOR CURRENT YEAR**

Acct. No.	DESCRIPTION	Original Cost (1)	Depreciation Percentage (2)	Depreciation Expense (1x2)
301	Organization			
302	Franchises			
303	Land and Land Rights	6,176	0.00	
304	Structures and Improvements	2,745	3.00	82
307	Wells and Springs	17,913	3.00	537
311	Pumping Equipment	31,510	3.00	438
320	Water Treatment Equipment			
330	Distribution Reservoirs and Standpipes	22,468	3.00	674
331	Transmission and Distribution Mains	1,443,124	3.00	42,528
333	Services	18,456	3.00	554
334	Meters and Meter Installations	30,734	3.00	870
335	Hydrants			
336	Backflow Prevention Devices			
339	Other Plant and Misc. Equipment			
340	Office Furniture and Equipment	11,367	3.00	336
341	Transportation Equipment	32,989	3.00	990
343	Tools, Shop and Garage Equipment			
344	Laboratory Equipment			
345	Power Operated Equipment	5,331	3.00	160
346	Communication Equipment			
347	Miscellaneous Equipment			
348	Other Tangible Plant			
	<b>TOTALS</b>	<b>1,662,813</b>		<b>47,169</b>

This amount goes on Comparative Statement of Income and Expense Acct. No. 403.

### BALANCE SHEET

Acct. No.		BALANCE AT BEGINNING OF YEAR	BALANCE AT END OF YEAR
	<b>ASSETS</b>		
	<b>CURRENT AND ACCRUED ASSETS</b>		
131	Cash	\$ 19,347	\$ 14,055
134	Working Funds	7,500	7,500
135	Temporary Cash Investments		
141	Customer Accounts Receivable	1,257	320
146	Notes/Receivables from Associated Companies		
151	Plant Material and Supplies	18,344	19,448
162	Prepayments		
174	Miscellaneous Current and Accrued Assets		
	<b>TOTAL CURRENT AND ACCRUED ASSETS</b>	\$ 46,448	\$ 41,323
	<b>FIXED ASSETS</b>		
101	Utility Plant in Service	\$ 1,622,813	\$ 1,622,813
103	Property Held for Future Use		
105	Construction Work in Progress		
108	Accumulated Depreciation – Utility Plant	(647,978)	(695,147)
121	Non-Utility Property		
122	Accumulated Depreciation – Non Utility		
	<b>TOTAL FIXED ASSETS</b>	\$ 974,835	\$ 927,666
	<b>TOTAL ASSETS</b>	\$ 1,021,283	\$ 968,989

**NOTE:** The Assets on this page should be equal to **Total Liabilities and Capital** on the following page.

<b>COMPANY NAME Joshua Valley Utility Company</b>
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**BALANCE SHEET (CONTINUED)**

Acct. No.		BAL AT BEG OF YR	BAL AT END OF YR
	<b>LIABILITIES</b>		
	<b>CURRENT LIABILITIES</b>		
231	Accounts Payable	\$	\$
232	Notes Payable (Current Portion)		
234	Notes/Accounts Payable to Associated Companies	14,000	
235	Customer Deposits	1,500	1,500
236	Accrued Taxes		
237	Accrued Interest		
241	Miscellaneous Current and Accrued Liabilities		
	<b>TOTAL CURRENT LIABILITIES</b>	15,500	\$1,500
	<b>LONG TERM DEBT (Over 12 Months)</b>		
224	Long-Term Notes and Bonds		
	<b>DEFERRED CREDITS</b>		
251	Unamortized Premium on Debt		
252	Advances in Aid of Construction		
255	Accumulated Deferred Investment Tax Credits		
271	Contributions in Aid of Construction	32,302	35,314
272	Less: Amortization of Contributions		
281	Accumulated Deferred Income Tax		
	<b>TOTAL DEFERRED CREDITS</b>	32,302	\$35,314
	<b>TOTAL LIABILITIES</b>	47,802	\$36,814
	<b>CAPITAL ACCOUNTS</b>		
201	Common Stock Issued	2,000	\$2,000
211	Paid in Capital in Excess of Par Value		
215	Retained Earnings	971,481	928,175
218	Proprietary Capital (Sole Props and Partnerships)		
	<b>TOTAL CAPITAL</b>	968,721	\$930,175
	<b>TOTAL LIABILITIES AND CAPITAL</b>	\$1,021,283	\$966,989

**COMPARATIVE STATEMENT OF INCOME AND EXPENSE**

<b>Acct. No.</b>	<b>OPERATING REVENUES</b>	<b>PRIOR YEAR</b>	<b>CURRENT YEAR</b>
461	Metered Water Revenue	\$ 190,234	\$ 212,557
460	Unmetered Water Revenue		
474	Other Water Revenues		
	<b>TOTAL REVENUES</b>	\$ 190,234	\$ 212,557
	<b>OPERATING EXPENSES</b>		
601	Salaries and Wages	\$ 55,554	\$ 61,520
610	Purchased Water		
615	Purchased Power	19,175	22,603
618	Chemicals		
620	Repairs and Maintenance	24,114	4,732
621	Office Supplies and Expense	1,267	1,823
630	Outside Services		
635	Water Testing	1,645	7,328
641	Rents		
650	Transportation Expenses	6,781	5,557
657	Insurance – General Liability	2,500	2,500
659	Insurance - Health and Life		
666	Regulatory Commission Expense – Rate Case		
675	Miscellaneous Expense	16,781	17,794
403	Depreciation Expense	47,169	47,169
408	Taxes Other Than Income		11,502
408.11	Property Taxes	8,906	7,828
409	Income Tax	50	
	<b>TOTAL OPERATING EXPENSES</b>	\$ 182,942	\$ 190,356
	<b>OTHER INCOME/EXPENSE</b>		
419	Interest and Dividend Income	\$	\$
421	Non-Utility Income		
426	Miscellaneous Non-Utility Expenses		
427	Interest Expense		
	<b>TOTAL OTHER INCOME/EXP</b>	\$	\$
	<b>NET INCOME/(LOSS)</b>	\$ 7,292	\$ 22,201



<b>COMPANY NAME</b>	Joshua Valley Utility Company
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**SUPPLEMENTAL FINANCIAL DATA**  
**Long-Term Debt**

	LOAN #1	LOAN #2	LOAN #3	LOAN #4
Date Issued				
Source of Loan				
ACC Decision No.				
Reason for Loan				
Dollar Amount Issued	\$	\$	\$	\$
Amount Outstanding	\$	\$	\$	\$
Date of Maturity				
Interest Rate				
Current Year Interest	\$	\$	\$	\$
Current Year Principle	\$	\$	\$	\$

Meter Deposit Balance at Test Year End	\$ 35,314
Meter Deposits Refunded During the Test Year	\$ 5,733

**COMPANY NAME** Joshua Valley Utility Company

## WATER COMPANY PLANT DESCRIPTION

### WELLS

ADWR ID Number	Pump Horsepower	Pump Yield (Gpm)	Casing Size (inches)	Meter Size (inches)
(30-17) 11-1	20	50	10	2
(30-17) 14-1	20	30	10	2
23-1	20	90	8	2
23-3	30	80	6	2
26-6	20	80	6	2

### OTHER WATER SOURCES

Name or Description	Capacity (gpm)	Gallons Purchased or Obtained (in thousands)

TOTAL GALLONS PUMPED (NOT SOLD) THIS YEAR (thous.) = 32,612

BOOSTER PUMPS		FIRE HYDRANTS	
Horsepower	Quantity	Quantity Standard	Quantity Other

STORAGE TANKS		PRESSURE TANKS	
Capacity	Quantity	Capacity	Quantity
50,000	1	1,500	1
125,000	1	5,000	1
100,000	1		
180,000	1		

## STATISTICAL INFORMATION

Total number of customers 750

Total number of gallons sold 28,688,000 gallons

COMPANY NAME Joshua Valley Utility Company YEAR ENDING 12/31/2001

**INCOME TAXES**

For this reporting period, provide the following:

Federal Taxable Income Reported	<u>373.00</u>
Estimated or Actual Federal Tax Liability	<u>373.00</u>
State Taxable Income Reported	<u>1,160.00</u>
Estimated or Actual State Tax Liability	<u>1,160.00</u>

Amount of Grossed-Up Contributions/Advances:

Amount of Contributions/Advances	<u>                    </u>
Amount of Gross-Up Tax Collected	<u>                    </u>
Total Grossed-Up Contributions/Advances	<u>                    </u>

Decision No. 55774 states, in part, that the utility will refund any excess gross-up funds collected at the close of the tax year when tax returns are completed. Pursuant to this Decision, if gross-up tax refunds are due to any Payer or if any gross-up tax refunds have already been made, attach the following information by Payer: name and amount of contribution/advance, the amount of gross-up tax collected, the amount of refund due to each Payer, and the date the Utility expects to make or has made the refund to the Payer.

**CERTIFICATION**

The undersigned hereby certifies that the Utility has refunded to Payers all gross-up tax refunds reported in the prior year's annual report. This certification is to be signed by the President or Chief Executive Officer, if a corporation; the managing general partner, if a partnership; the managing member, if a limited liability company or the sole proprietor, if a sole proprietorship.

  
SIGNATURE

March 4, 2002  
DATE

John D. Ratliff  
PRINTED NAME

President  
TITLE

**COMPANY NAME** Joshua Valley Utility Company **YEAR ENDING 12/31/2001**

<b>WATER AND SEWER UTILITIES ONLY</b>
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**PROPERTY TAXES**

**Indicate the amount of actual property taxes paid during this reporting period (Calendar Year 2001)**

\$ 7,828

**Attach to this annual report proof (e.g. property tax bills stamped "paid in full" or copies of cancelled Checks for property tax payments) of any and all property taxes paid during the calendar year.**

**If no property taxes paid, explain reasons below:**

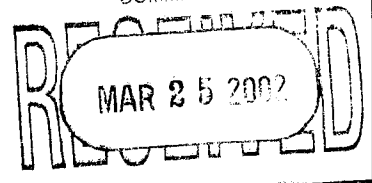
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Director of Utilities

**VERIFICATION  
AND  
SWORN STATEMENT  
Intrastate Revenues Only**

**VERIFICATION**

STATE OF \_\_\_\_\_

I, THE UNDERSIGNED

OF THE

COUNTY OF (COUNTY NAME) Mohave

NAME (OWNER OR OFFICIAL) TITLE

John D. Ratliff President

COMPANY NAME

Joshua Valley Utility Company

**DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION****FOR THE YEAR ENDING**

MONTH	DAY	YEAR
12	31	2001

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

**SWORN STATEMENT**

IN ACCORDANCE WITH THE REQUIREMENT OF TITLE 40, ARTICLE 8, SECTION 40-401, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS DURING CALENDAR YEAR 2001 WAS:

Arizona IntraState Gross Operating Revenues Only (\$)

\$ 224,059

(THE AMOUNT IN BOX ABOVE  
INCLUDES \$ 11,502  
IN SALES TAXES BILLED, OR COLLECTED

**\*\*REVENUE REPORTED ON THIS PAGE MUST INCLUDE SALES TAXES BILLED OR COLLECTED. IF FOR ANY OTHER REASON, THE REVENUE REPORTED ABOVE DOES NOT AGREE WITH TOTAL OPERATING REVENUES ELSEWHERE REPORTED, ATTACH THOSE STATEMENTS THAT RECONCILE THE DIFFERENCE. (EXPLAIN IN DETAIL)**

SUBSCRIBED AND SWORN TO BEFORE ME

A NOTARY PUBLIC IN AND FOR THE COUNTY OF

THIS

22nd

DAY OF

(SEAL)

MY COMMISSION EXPIRES

2/28/05

SIGNATURE OF OWNER OR OFFICIAL

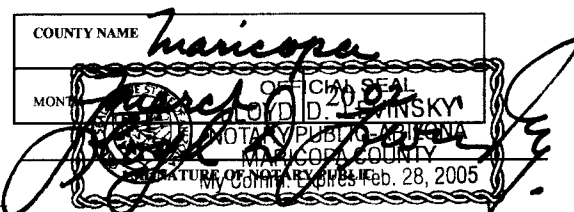
602-840-2450

TELEPHONE NUMBER

COUNTY NAME

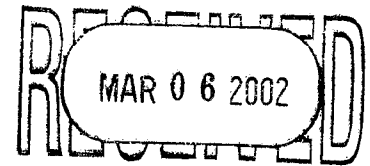
Maricopa

MON



**VERIFICATION  
AND  
SWORN STATEMENT  
RESIDENTIAL REVENUE  
INTRASTATE REVENUES ONLY**

ARIZONA CORPORATION  
COMMISSION



**VERIFICATION**

**STATE OF ARIZONA**

**I, THE UNDERSIGNED**

**OF THE**

(COUNTY NAME) Mohave		Director of Utilities
NAME (OWNER OR OFFICIAL) John D. Ratliff	TITLE President	
COMPANY NAME Joshua Valley Utility Company		

**DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION  
FOR THE YEAR ENDING**

MONTH	DAY	YEAR
12	31	2001

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

**SWORN STATEMENT**

**IN ACCORDANCE WITH THE REQUIREMENTS OF TITLE 40, ARTICLE 8, SECTION 40-401.01, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS RECEIVED FROM RESIDENTIAL CUSTOMERS DURING CALENDAR YEAR 2001 WAS:**

ARIZONA INTRASTATE GROSS OPERATING REVENUES

\$ 224,059

(THE AMOUNT IN BOX AT LEFT  
INCLUDES \$ 11,502  
IN SALES TAXES BILLED, OR COLLECTED

**\*RESIDENTIAL REVENUE REPORTED ON THIS PAGE  
MUST INCLUDE SALES TAXES BILLED.**

X

*John S Ratliff*  
SIGNATURE OF OWNER OR OFFICIAL

**SUBSCRIBED AND SWORN TO BEFORE ME**

**A NOTARY PUBLIC IN AND FOR THE COUNTY OF**

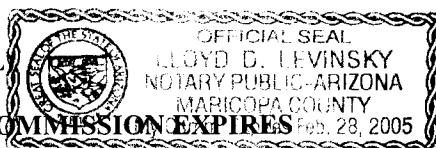
**THIS**

FOURTH

**DAY OF**

(SEAL)

**MY COMMISSION EXPIRES**



NOTARY PUBLIC NAME

LLOYD D. LEVINSKY

COUNTY NAME

MARICOPA

MONTH

MARCH

2002

X *Lloyd D. Levinsky*  
SIGNATURE OF NOTARY PUBLIC

JOSHUA VALLEY UTILITY 03/93  
 PETTY CASH  
 P.O. BOX 247  
 MEADVIEW, AZ 86444

7 Parcels  
 2nd 1/2 2000

3000

APR 30 2001

DATE

4/27/01

91-170  
9011 1221 AZ

PAY  
 TO THE  
 ORDER OF

Mohave County Treasurer

\$ 4119.28

Forty one hundred nineteen and 28/100

DOLLARS

Bank of America.

5099087

ACH R/T 122101706

FOR

94541180, 94541100, 343-02-364A, 343-06-321, 450, Susan A. Rusanak  
 343-17-428, 343-25-148

003000 122101706 000054874037

00000411928

PHOENIX, AZ 85012  
 122106278  
 FOR DEPOSIT ONLY  
 MOHAVE COUNTY TREASURER  
 3150106880

0062 0435

MAY - 24 01

10337573301  
 1221-0527-8  
 916  
 1002450 24 10 01

05/07/01 10:10:56  
 10d 10 5623 10/10/06



**JOSHUA VALLEY UTILITY** 03/93  
**PETTY CASH**

P.O. BOX 247  
 MEADVIEW, AZ 86444

**OCT 31 REC'D**

*13 Parcels*  
*1st 1/2 2.001*

3142

91-170  
 1221 AZ

DATE *10/29/01*

PAY  
 TO THE  
 ORDER OF

*Mohave County Treasurer*

\$ *3708.86*

*Three thousand seven hundred eight & 86/100*

DOLLARS

Bank of America.

ACH R/T 122101706

*94541180, 94541100, 343-02-364A, 343-06-321,*  
*FOR 343-06-334, 450, 343-17-428, 343-20-435, 436, 343-23-035,*  
*343-33, 032, 343-31, 026, 343-25-145*

*Susan A. Rismah*

000314211 122101706 000054874037

11/16/01 12292 01 P01  
 355011800

WELLS FARGO BANK ARIZONA, N.A.  
 PHOENIX, AZ 85012  
 122105278  
 FOR DEPOSIT ONLY  
 MOHAVE COUNTY TREASURER  
 315010580

0046 4815

11/16/01 12292 01 P01  
 355011800  
 122105278  
 11/16/01 12292 01 P01